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Dated: June 21, 2004

Signature:

Docket No. AMENDMENT TRANSMITTAL LETTER **TYCOTE 3.0-003** Examiner Art Unit Filing Date Application No. Not Yet Assigned March 10, 2004 2872 10/796,930 Applicant(s): Hongbin Zhang, Li Liu, R. Brian Jander, and Alexei N. Pilipetskii Invention: METHODS AND APPARATUS FOR POLARIZATION CONTROL TO THE COMMISSIONER FOR PATENTS Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below. CLAIMS AS AMENDED Highest Claims Remaining Number Number Previously **Extra Claims** After **Amendment** Paid Present Rate 0.00 **Total Claims** 51 51 Х Independent 0.00 5 5 х = Claims Multiple Dependent Claims (check if applicable) Other fee (please specify): TOTAL ADDITIONAL FEE FOR THIS AMENDMENT: 0.00 Small Entity x Large Entity No additional fee is required for this amendment. Please charge Deposit Account No. in the amount of \$ A duplicate copy of this sheet is enclosed. A check in the amount of \$ to cover the filing fee is enclosed. Payment by credit card. Form PTO-2038 is attached. x The Director is hereby authorized to charge and credit Deposit Account No. 12-1095 as described below. A duplicate copy of this sheet is enclosed. x Credit any overpayment. x Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17. Dated: June 21, 2004 Andrew T. Zidel Attorney Reg. No.: 45,256 LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP 600 South Avenue West Westfield, New Jersey 07090 (908) 518-6385 I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

(Andrew T. Zidel)

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(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Zhang et al.

Application No. 10/796,930

: Group Art Unit: 2872

Filed: March 10, 2004

: Examiner: Not Yet

Assigned

For: METHODS AND APPARATUS FOR POLARIZATION CONTROL

Commissioner for Patents

Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Dear Sir:

P.O. Box 1450

Preliminary to initiation of the prosecution of the above-identified pending U.S. patent application, the following amendments and remarks are respectfully submitted.